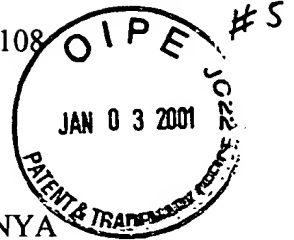


Attorney Docket No. 50325-0108



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit No.: NYA

Sunil K. Srivastava

Examiner: NYA

Serial No.: 09/728,488

Filed on: November 30, 2000

For: METHOD AND APPARATUS PROVIDING
SECURE MULTICAST GROUP
COMMUNICATION

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, Washington, D. C. 20231 on December 27, 2000.
(Date of Deposit)

Teresa Austin

(Typed or printed name of person mailing correspondence)

(Signature of person mailing correspondence)

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

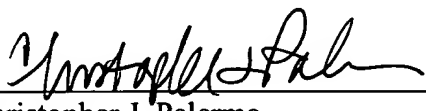
- X 37 C.F.R. §1.97(b).
- 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- A statement pursuant to 37 C.F.R. §1.97(e) or
- A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
 - (1) A statement pursuant to 37 C.F.R. §1.97(e);
 - (2) A petition requesting consideration of the Information Disclosure Statement; and
 - (3) A check for \$ for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.
- 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.
 - (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

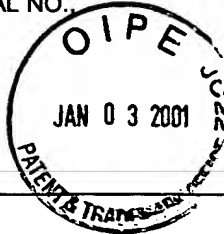
HICKMAN PALERMO TRUONG & BECKER LLP

Dated: December 19, 2000



Christopher J. Palermo
Reg. No. 42,056

1600 Willow Street
San Jose, California 95125-5106
Telephone: (408) 414-1080 ext. 202
Facsimile: (408) 414-1076

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)				ATTY. DOCKET NO. 50325-0108		SERIAL NO. NYA	
							
				APPLICANT Sunil K. Srivastava			
				FILING DATE November 30, 2000		GROUP NYA	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	5,633,933	5/27/97	Aziz				
	5,588,060	12/24/96	Aziz				
	5,889,865	3/30/99	Vanstone et al.				
	4,776,011	10/4/88	Busby				
	5,920,630	7/6/99	Wertheimer et al.				
	5,850,451	12/15/98	Sudia				
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	IEEE Transactions On Information Theory, Vol. IT-22, No. 6 (November 1976) entitled "New Directions in Cryptography" by Whitfield Diffie and Martin E. Hellman.						
	Proceedings of the IEEE, Vol. 76, No. 5 (May 1988) entitled "The First Ten Years of Public-Key Cryptography" by Whitfield Diffie.						
	MIT/LCS/TM-537, Laboratory for Computer Science (August 1995) entitled "Guaranteed Partial Key-Escrow", Handout #13, by Silvio Micali.						
	Proceedings of the Fourth Annual Conference on Computer and Communications Security, ACM, 1997 (November 1996) entitled "Verifiable Partial Key Escrow" by Mihir Bellare and Shafi Goldwasser.						
	Federal Information Processing Standards Publication 185 (1994 February 9) entitled "U.S. Department of Commerce/National Institute of Standards and Technology", Escrowed Encryption Standard, Category: Telecommunications Security.						
	Cylink Corporation Resources entitled "Alternatives to RSA: Using Diffie-Hellman with DSS".						
EXAMINER				DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.